



ANALYSIS OF MOLECULAR RESISTANCE MECHANISMS OF ITRACONAZOLE IN *CANDIDA ALBICANS* CLINICAL ISOLATES FROM INDIA

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ABSTRACT

Opportunistic fungal infections resistant to antifungal agents have been increasingly documented in recent years and their frequency will likely continue to increase. This phenomenon appears due largely to the extensive use of antifungal agents to treat fungal infections that typically occur in severely immunocompromised and/or critically ill patients. *Candida* species are leading fungi responsible for these invasive infections. In this study, we describe resistance mechanisms in Itraconazole-resistant isolates of *Candida albicans* isolated from AIDS patients. These mechanisms include the presence of point mutations in the *ERG11* gene and overexpression of *ERG11*, and several genes encoding efflux pumps, as measured by quantitative real-time reverse transcriptase polymerase chain reaction. Several fluconazole-resistant strains had multiple mechanisms of resistance. Four mutations previously described, Y132F, K143R, E266D, and V437I, were identified among the strains, whereas some isolates contained more than one mutation. Fourteen novel mutations were identified. Interestingly, all Itraconazole-resistant isolates showed homozygosity at mating-type loci (*MTL*) associated with Itraconazole resistance.

Keywords: Molecular resistance, Fungal infections, *Candida albicans*, Fluconazole, Itraconazole.

INTRODUCTION

The frequency of *Candida* infection invasive disease has risen approximately 14-fold over the 16 years to 1992, as judged after death in unselected autopsies¹. Candidiasis as the most frequent fungal pathogen detected post mortem in tertiary care hospitals in Europe³. Thus 4% of all patients dying had Candidiasis³. Patients at risk based on the disease frequency include those with chronic granulomatous disease (25-40%), lung transplant recipients (17-26%), bone marrow transplant patients (4-30%), neutropenic patients with leukemia (5-25%), heart transplant recipients (2-13%), pancreas transplant recipients (1-4%), renal transplant patients in Europe and the USA (~1%) and in India (~10%), and patients with AIDS, multiple myeloma and severe combined immunodeficiency (~4%)⁴⁻⁶. Over 500,000 transplants are performed annually in the world. Acute leukemia affects about 3/100,000 of the population and on average each patient receives 3 cycles of chemotherapy, with each cycle defining a major risk period⁷. In the industrialized nations alone these treatment protocols generate about 250,000 periods of major risk per year. AIDS cases are predicted to exceed 60 million by the end of the year 2007 which would result in about 2.1 million cases of Candidiasis, although in developing countries most patients will not live long enough to get this disease⁸⁻¹⁰.

The predominant cause of fungal infections in hospitalized patients remains *C. albicans*, pathogenic yeast that causes oral, vaginal, and systemic infections¹¹. Triazole drugs such as fluconazole and itraconazole are commonly used to treat *C. albicans*. However, resistant strains often emerge during long-term or prophylactic

treatment. Two major mechanisms of itraconazole resistance have been identified so far in these strains: (I) alterations in the drug target (14- α -sterol demethylase, the product of the *ERG11* gene), which results in an increased level of production of the enzyme or in its reduced binding affinity for itraconazole, and (II) a reduced level of intracellular itraconazole, which correlates with the over expression of the *CDR1* and *CDR2* genes encoding transporters of the ABC family and of the *MDR1* and *FLU1* genes coding for major facilitators¹²⁻¹⁴. It has already been observed that multiple mechanisms of itraconazole resistance can arise in a single *C. albicans* isolate¹⁵⁻¹⁶. We assessed resistance mechanisms of Itraconazole-resistant strains of *C. albicans*.

MATERIALS AND METHODS

The *C. albicans* clinical isolates were obtained from different hospitals of India that were obtained from immunocompromised patients.

Culture of *C. albicans*

The pathogenic strains of *Candida* were cultured on Sabouraud dextrose agar plates. An amount of 47.0 g Sabouraud dextrose agar (E. Merck, Cat No.V868415) was dissolved in 1.0 L of sterile distilled water according to the manufacturer's instructions, in a conical flask. The medium was autoclaved at 10 psi for 15 min. It was cooled to 45°C and approximately 15.0 ml of the same dispensed into the sterilized Petri plates from Tarson (Cat No. 460090-90). The plates were allowed to cool at room temperature for 3 h. Sabouraud dextrose agar plates were inoculated with *C. albicans*, incubated for 96 h in BOD incubator (Thermo Scientific) at 37°C.



Preparation of Spore Suspension

Spores/conidia from the fungal colonies of 96 h cultures in the plates were picked up either by an applicator and transferred to 1.0 ml of sterile physiological saline in a tube or harvested by adding 1.0 ml of sterile physiological saline directly to the plates and recovering back the spore suspension into screw cap tube. The tubes were vortexed gently for 3-4 min at room temperature to prepare a homogeneous suspension of the conidia. The conidia were counted using hemocytometer and the number was adjusted to 1×10^6 spores/ml.

Susceptibility testing

Antifungal susceptibility testing was performed by using the National Committee for Laboratory Standards (NCCLS) reference broth microdilution¹⁸. Reference powders of itraconazole and fluconazole, and ketoconazole, were kindly provided by the manufacturers: Sigma Group (USA). Amphotericin B was obtained from Sigma.

Sequencing of the ERG11 gene

The open reading frame was polymerase chain reaction (PCR) amplified using Taq DNA platinum polymerase high fidelity (Invitrogen) and primers described in James B A *et al.*, and Gustavo *et al.*, 2004. PCR conditions were as follows: 94°C for 2 minutes and 35 times 94°C for 1 minute, 55°C for 1 minute, and 68°C for 2 minutes, followed by an extension step at 68°C for 10 minutes. After the reaction, the approximately 1.7-kb PCR product was purified with a Qiagen PCR cleanup kit and inserted into TOPO TA cloning kit (Invitrogen) following manufacturer's instructions. Sequencing reactions were prepared using BigDye™ Terminator Cycle Sequencing (Applied Biosystems) and primers were used according to James B A *et al.*, and Gustavo *et al.*, 2004. The nucleotide sequences were determined in both strands by primer elongation with an ABI 3100 automated DNA sequence (Applied Biosystems). Sequence data were compared with a published *ERG11* sequence using BLAST¹⁶.

PCR analysis of the (mating type locus) MTL locus

The PCR protocol used to analyze the MTL locus was the following: 94°C for 5 minutes, 35 times 94°C for 45 seconds, 55°C for 30 seconds, and 72°C for 1 minute, and an extension step at 72°C for 10 minutes. The presence of *MTLa* was ascertained by amplifying *MTLa1* and the associated gene *PAPa*²⁰. The presence of *MTLα* was ascertained by amplifying *MATα1* and *MATα2* and the associated gene *PAPα*. These three different genes, *MTLa1*, *MTLa2*, and *MTLa1*, are the *C. albicans* homologues of *Saccharomyces cerevisiae* mating-type genes *MATα1*, *MATα2*, and *MATα1*, respectively²¹.

DNA and RNA isolation, and real-time RT-PCR

1×10^6 conidial spore was transferred in a 1.5 ml tube with 700 μl TRIzol (Sigma), followed by addition of 200 μl chloroform. Sample is mixed by inverting the tube for 15 sec, followed by 3 minute incubation at room temperature, centrifugation at 12,000 g for 15 min, and

transfer of aqueous phase into a fresh microcentrifuge tube. Thereafter, 500 μl isopropanol was added and centrifuged at 12,000 g for 10 min in cold room. The pellet was washed with 500 μl in 70 % ethanol, centrifuged at max. 7,500 g for 5 min in the cold room dried in air for 10 min and dissolved in 50 μl DEPC-H₂O. To verify RNA integrity, 20 micrograms of RNA were fractionated in a 2.2-M formaldehyde, 1.2% agarose gel, stained with ethidium bromide, and visualized with ultraviolet light. The presence of intact 28S and 18S ribosomal RNA bands (semi quantitatively in a 2:1 ratio) was used as a criterion to determine whether the RNA was degraded. RNase-free DNase treatment was done. The absence of DNA contamination after the RNase-free DNase treatment was verified by PCR amplification of the *ACT1* gene. cDNA was synthesized by using the SuperScript reverse transcriptase (Gibco, BRL).

All the RT-PCR reactions were performed using an ABI Prism 7700 Sequence Detection System (Perkin-Elmer Applied Biosystem, USA). The Taq- ManR PCR Reagent kit was used for PCR reactions. The thermal cycling conditions comprised an initial step at 50°C for 2 min, followed by 10 minutes at 95°C, and 40 cycles at 95°C for 15 seconds and 60°C for 1 min. Primer and probe sequences are described in James B A *et al.*, 2003.

RESULTS

The minimal inhibitory concentration (MIC) values obtained for 20 *C. albicans* isolates with the three different azoles (fluconazole, itraconazole, and ketoconazole) are summarized in Table 1. The 20 *C. albicans* isolates included 9 that were resistant to itraconazole (MICs ≥ 64 μg/mL), 6 that were susceptible-dose dependent (S-DD for MICs of 16 and 32 μg/mL), and 5 that were susceptible to fluconazole (MICs < 8 μg/mL). Regarding itraconazole, 1 isolate was considered resistant to fluconazole (MIC ≥ 1 μg/mL), 10 were considered as S-DD (MICs of 0.25 and 0.5 μg/mL), and 9 were susceptible to fluconazole (MIC $> 0.25 < 0.5$) (Table 1). All of them were susceptible to amphotericin B (MICs < 1 μg/mL). Table 1 shows that 12 out of 15 isolates S-DD or resistant to itraconazole were also considered as S-DD (9 for itra and keto) or resistant (1 for itra and 2 for keto) to the other azoles²³⁻²⁵. Otherwise, only 1 out of 5 isolates susceptible to fluconazole exhibited an MIC value compatible with S-DD.

ERG11, CDR1, CDR2, MDR1, and FLU1 genes implicated in azole resistance

Overexpression of the genes *ERG11*, *CDR1*, *CDR2*, *MDR1*, and *FLU1* has been associated to itraconazole resistance and was investigated as a mechanism of resistance in our clinical isolates by using real-time RTPCR.²¹ The cDNA levels of the different genes were normalized using the *ACT1* gene (encoding actin); comparable results were observed when the *PMA1* gene was used as a normalizer gene²⁷. Because a matched set of isolates was not available for this collection of itraconazole - resistant



isolates, the mRNA expression levels of isolates 137 and 168 were used as controls²². Table 2 illustrates the number of times each respective gene was expressed greater than the average expression of the same gene in the control isolates (where the mRNA expression levels were given a value of 1.0). When this criterion is used, the isolates that are resistant to itraconazole expressed *ERG11* at much higher levels (11.2 to 14.4 times) than the average in isolates 114, 116, 117, 168, and 172. The *CDR1* gene was more highly expressed in isolates 116, 168, and 172, whereas the *CDR2* gene was more highly expressed in the isolates 214, 116, 117, 133, 168 and 172. *MDR1* was more highly expressed in the isolate 133, whereas

FLU1 was more highly expressed in isolates 18, 136, and 172. Considering the isolates SSD to itraconazole, the *ERG11* is more expressed in the isolate 185, whereas the *CDR1* gene is more expressed in the isolates 21 and 85²⁸⁻³⁰. The *CDR2* is more expressed in the isolates 121, 169, 185, and 186. *MDR1* is more expressed in the isolates 119 and 169, whereas the *FLU1* is more expressed in the isolate 119. Interestingly, some isolates that show susceptibility to itraconazole, such as 151, 155, and 161, show higher expression of *MDR1* and *CDR2*, *CDR2*, and *ERG11*, respectively³¹. Taken together, our results show that some isolates concomitantly over expressed different genes involved in drug resistance in *C. albicans*³².

Table 1: Patient demographics, MIC values of clinical isolates, and *ERG11* sequence analysis for isolate collection

S. No.	Reference isolate number	Patient's age and gender	ITRA	FLC	KTC
1	168	F-45	64	0.25	0.25
2	18	M-NA	64	0.25	0.5
3	133	F-NA	64	0.25	0.25
4	116	M-25	64	0.25	0.5
5	121	M-33	32	0.5	1.0
6	115	M-42	32	0.25	0.5
7	169	M-49	32	0.25	0.25
8	185	M-36	32	0.5	0.125
9	119	M-32	16	0.06	0.125
10	186	M-44	16	0.125	0.06
11	114	M-32	>64	0.25	0.5
12	123	M-42	>64	0.125	0.5
13	712	F-38	>64	0.125	0.125
14	136	M-38	>64	0.03	2
15	117	M-27	64	1.0	0.5
16	161	M-36	8	0.03	0.06
17	151	M-37	4	0.06	0.06
18	155	F-29	4	0.25	0.125
19	237	M-62	0.25	0.03	0.03
20	268	M-25	0.125	0.03	0.03

NA, not available; M, male; F, female; ND, not detected; NE, not evaluated; FLC, fluconazole; ITRA, itraconazole; KTC, ketoconazole.

Table 2: *ERG11* and efflux transporter gene mRNA expression levels in the clinical isolates as assessed by real-time RT-PCR.

Isolate number	MIC fluconazole (µg/mL)	<i>FLU1</i>	<i>MDR1</i>	<i>CDR1</i>	<i>CDR2</i>	<i>ERG11</i>
168	64	2.3	1.3	5.8	386.3	12.1
18	64	5.3	6.7	2.9	4.3	4.3
133	64	1.9	12.0	2.6	19.5	5.1
116	64	2.0	6.0	4.3	24.8	21.3
115	32	0.9	0.7	3.1	8.0	3.5
121	32	2.9	2.0	4.7	1,241	7.6
169	32	4.4	70.0	4.0	15.5	2.9
185	32	3.0	2.7	7.7	186.5	15.2
119	16	5.0	57.3	1.8	2.3	8.0
186	16	2.3	3.3	2.8	21.0	3.9
161	8	4.1	2.0	0.9	3.5	10.3
151	4	3.6	27.3	3.5	82.5	2.1
155	4	2.3	5.3	4.0	9.5	4.4
237 and 268	0.125 and 0.25	1.0	1.0	1.0	1.0	1.0
114	>64	1.7	0.7	3.7	24.0	14.4
123	>64	1.0	1.3	1.2	0.8	0.77
172	>64	5.3	4.7	4.2	43.3	26.0
136	>64	5.0	2.7	2.8	4.5	5.8
117	64	2.1	0.7	3.0	21.3	11.2

cDNA levels were calculated relative to those of the average cDNA levels of the isolates 137 and 168. The numbers represent the averages of 3 replicates, with the ranges shown in parentheses.



DISCUSSION

The clinical isolates used in this study were screened for the currently characterized molecular mechanisms of azole resistance. The first hot spot comprising G464S, G465S, and R476K associates with the N-terminal part of the cysteine pocket²⁹⁻³¹. A second hot spot is mapped to the C-terminus of the G helix and H helix, and a third hot spot comprising F72L, F105L, S495F, and T229A associates with the domain interface. The fourth hot spot comprising D116E, F126L, K128T, G129A, Y132H, K143R, F145L, K147R, A149V, and D153E is located in the region between the B' and C helices that have been postulated to be involved in inhibitor or substrate-induced structural changes. The mutations identified in *C. albicans* itraconazole-resistant isolates indicate that azole resistance in fungi develops in protein regions involved in orchestrating the passage of CYP51p through different conformational stages rather than in residues directly contacting the triazole²⁵⁻²⁹. Some of our isolates contained more than one mutation, and 14 novel mutations were identified among them.

Real-time RT-PCR assays were used to obtain more accurate data on gene expression in *C. albicans*. We assessed the quantitative expression of two ABC transporters, *CDR1* and *CDR2*, and two MFS transporters, *MDR1* and *FLU1*, and also *ERG11*. Some clinical isolates showed increased mRNA expression of the *ERG11* gene, which encodes the target 14- α -demethylase have previously shown, in a collection of itraconazole-resistant and -susceptible isolates, considerable variation in the levels of *ERG11* mRNA expression, but this expression did not seem to be related to the azole resistance of the isolates. However, *ERG11* overexpression has been found in many other itraconazole-resistant *C. albicans* isolates compared with matched susceptible isolates. Overexpression of *ERG11* from *C. albicans* has been shown to confer a fivefold enhanced resistance to itraconazole in *S. cerevisiae*. Therefore, constitutive *ERG11* overexpression may contribute to itraconazole resistance in our clinical *C. albicans* isolates.

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